

COMMUNITY CAPITAL FUND

FIRM NAME	ADDRESS	APPLICATION DATE
CONTACT PERSON	TELEPHONE	

PRINCIPALS	HOME ADDRESS	S.S. #	DATE OF BIRTH	% OF OWNERSHIP	CITIZENSHIP OR TYPE OF VISA
NAME/TITLE					

DATE FIRM ESTABLISHED	ANNUAL GROSS SALARY \$	TYPE OF BUSINESS SIC CODE _____ _____ MANUF _____ RETAIL _____ SERVICE _____ WHSLE				
FEDERAL TAX I.D.	PRINCIPAL PRODUCT/ SERVICE	FORM OF OWNERSHIP _____ SOLE PROP _____ PARTNERSHIP _____ CORP.				
STATUS OF BUSINESS ___ EXISTING ___ NEW ___ PURCHASE EXISTING	PROJECT PURPOSE ___ NEW CONSTR. ___ RENOVATION ___ EXPANSION	___ WORKING CAPITAL ___ CAPITAL EQUIPMENT ___ INVENTORY ___ REFINANCE	EMPLOYMENT: # CURRENTLY EMPLOYED _____ # EMPLOYED AFTER COMPLETION OF PROJECT _____			
*If purchasing equipment, attach list of equipment to be purchased.						
CURRENT DEBT	FINANCING REQUESTED	TERM (MONTHS)	RATE (%)			
ACCOUNTANT		PHONE				
LEGAL COUNSEL		PHONE				
		INTERIM LENDER _____ CONTACT _____				
		PERMANENT LENDER _____ CONTACT _____				
		BANK OF BUSINESS ACCOUNT _____ CONTACT _____				

DISTRIBUTION OF LOAN PROCEEDS				
USE OF FUNDS	BANK	OWNER	CITY/LDC	TOTAL
BLDG. ACQ.	\$	\$	\$	\$
LAND ACQ.	\$	\$	\$	\$
NEW CONSTR.	\$	\$	\$	\$
RENOV./EXP.	\$	\$	\$	\$
WORKING CAP.	\$	\$	\$	\$
MACH. & EQUIP.	\$	\$	\$	\$
CURRENT DEBT	\$	\$	\$	\$
OTHER	\$	\$	\$	\$
TOTALS	\$	\$	\$	\$

SOURCES (LENDER USE ONLY)		
BANK	\$	
LDC	\$	
CITY	\$	
EQUITY	\$	
JDA	\$	
SBA	\$	
OTHER	\$	
TOTAL	\$	

COLLATERAL					
ASSET	YES/NO	ESTIMATED VALUE	SOURCE OF VALUE	EXISTING LIEN IN DOLLARS	LIEN HOLDER
ACCOUNTS RECEIVABLE					
INVENTORY					
REAL ESTATE					
OTHER:					

BUSINESS FINANCIAL INFORMATION						
EXISTING DEBTS						
AMOUNT OF DEBT	DEBTOR NAME AND ACOUNT NUMBER	ACCOUNT NUMBER	MONTHLY PAYMENT	INTEREST RATE	MATURITY DATE	COLLATERAL
\$						
\$						
\$						
\$						
\$						

REFERENCES			
VENDOR NAME	CONTACT NAME	TELEPHONE NUMBER	NATURE OF BUSINESS
1.			
2.			
3.			

LENDER NAME	TYPE OF ACCOUNT	ACCOUNT #	ACCOUNT BALANCE
1.			
2.			
3.			

NAME OF COMPETITOR	LOCATION OF COMPETITOR	HOW DOES YOUR COMPANY COMPETE?
1.		
2.		
3.		

HAS THE BUSINESS EVER FILED FOR BANKRUPTCY? YES/NO, IF YES, GIVE DETAILS

HAS THE BUSINESS PREVIOUSLY APPLIED TO A BANK? IF YES, GIVE DETAILS

HAS ANY OF THE OWNERS OF THE BUSINESS EVER FILED FOR BANKRUPTCY? YES/NO

ARE ALL PAYROLL, FEDERAL, STATE AND MUNICIPAL TAXES CURRENT? (IF NO GIVE DETAILS)

COMMENT ON: (BACKGROUND OF COMPANY. IS THE BUSINESS SUBJECT TO SALES AND CASH FLOW FLUCTUATIONS THROUGHOUT THE YEAR? HOW WILL PROPOSED LOAN BENEFIT THE COMPANY?)

Please include the following information with this application:

1. Three years business tax returns. If sole proprietorship include a year-end balance sheet.
2. Three years personal tax returns.
3. Personal financial statement form.
4. Attachments referred on this application.
5. If this a startup business or your business is less than one year old, include a business plan which includes a proforma opening balance sheet and 3 years projections of cash flow and profit and loss, market analysis description of products offered, business operations and resumes of principals.

By the signature below authorization is granted for the potential lender to secure credit background and information. In consideration of furnishing assistance and/or counseling to me, I waive all claims against the lender and its agent in connection with such assistance or counsel.

Signature _____ Title _____ Date _____

Return to Community Capital Fund, 240 Fairfield Ave., 3rd Fl., Bridgeport, CT 06604 (203) 332-7977

COMMUNITY CAPITAL FUND

PERSONAL FINANCIAL STATEMENT

Please complete all information, sign, and date form

PERSONAL INFORMATION

NAME (PRINT): LAST, FIRST & MIDDLE		BIRTH DATE	SOCIAL SECURITY NO.		U.S. CITIZEN <input type="checkbox"/> Yes <input type="checkbox"/> No		HOME PHONE	
STREET ADDRESS		CITY	STATE	ZIP	<input type="checkbox"/> Own <input type="checkbox"/> Rent <input type="checkbox"/> Other	YEARS	RENT/MTG PAYMENT \$	AVG MTLY UTILITIES \$
PREVIOUS ADDRESS		CITY	STATE	ZIP	<input type="checkbox"/> Own <input type="checkbox"/> Rent <input type="checkbox"/> Other	YEARS	# OF DEPENDENTS (count yourself as one)	
EMPLOYER	ADDRESS		CITY	STATE	ZIP	WORK PHONE		
POSTION		DEPARTMENT/UNIT		LENGTH OF EMPLOYMENT yrs. mos.		TYPE OF BUSINESS		
FORMER EMPLOYER, ADDRESS AND YOUR POSITION				ANNUAL SALARY THERE		LENGTH OF EMPLOYMENT		
HAVE YOU FILED BANKRUPTCY OR HAD ANY JUDGMENTS AGAINST YOU? <input type="checkbox"/> no <input type="checkbox"/> yes EXPLAIN ON ATTACHMENT		ARE YOU OBLIGATED TO PAY ALIMONY, CHILD SUPORT OR MAINTENANCE PAYMENTS? <input type="checkbox"/> no <input type="checkbox"/> yes EXPLAIN ON ATTACHMENT				DO YOU HAVE A WILL? <input type="checkbox"/> no <input type="checkbox"/> yes NAME OF EXECUTOR		

ASSETS	
CASH ON HAND AND IN BANKS (A)	\$
MARKETABLE SECURITIES (B)	\$
NON-MARKETABLE SECURITIES ©	\$
ACCTS & NOTES RECEIVABLE (D)	\$
REAL ESTATE WHOLLY OWNED (E)	\$
PARTIAL INTEREST/REAL ESTATE (F)	\$
AUTOMOBILES	\$
CASH VALUE-LIFE INSURANCE (G)	\$
OTHER ASSETS (ITEMIZE)	\$
	\$
	\$
	\$
TOTAL ASSETS	\$

LIABILITIES AND NET WORTH	
NOTES DUE BANK (H)	\$
NOTES DUE OTHERS	\$
BROKER MARGIN ACCOUNTS	\$
ACCOUNTS & BILLS DUE	\$
INCOME TAX DUE	\$
OTHER UNPAID TAXES & INTEREST	\$
REAL ESTATE MORGAGES (E)	\$
OTHER DEBT (ITEMIZE)	\$
	\$
	\$
TOTAL LIABILITIES	\$
NET WORTH	\$
TOTAL LIABILITIES & NET WORTH	\$

ANNUAL INCOME	
SALARY	\$
COMMISSIONS & BONUSES	\$
DIVIDENDS/INTEREST	\$
ACCTS & NOTES RECEIVABLE	\$
RENTAL INCOME	\$
OTHER INCOME	\$
TOTAL	\$

CONTINGENT LIABILITIES	
JUDGMENTS	\$
DAMAGE CLAIMS	\$
GUARANTIES	\$
ENDORSEMENTS AND	\$
ANY OTHER OBLIGATIONS	\$
OTHER UNPAID TAXES & INTEREST	\$
TOTAL	\$

Personal Income and Expenses (for year ending _____)

Annual Income

Annual salary, bonuses	\$ _____
and commissions	\$ _____
Dividends and interest	\$ _____
Rental Income	\$ _____
Other Income (explain)	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
Total Annual Income	\$ _____

Annual Expenses

Mortgage/Rental Pmts	\$ _____
Federal, State and local taxes	\$ _____
Real estate taxes	\$ _____
Insurance premiums	\$ _____
Other loans, credit cards	\$ _____
Alimony, child support	\$ _____
Other expenses (tuition, miscellaneous)	\$ _____
Total Annual Expenses	\$ _____

Notes:

This image shows a full page of white paper with horizontal blue ruling lines. The lines are evenly spaced and run across the width of the page, typical of notebook or legal stationery. There are no margins, text, or other markings on the page.

(A) BANKING INFORMATION

TYPE OF ACCOUNT	BANK/S&L/CRDT UNION	ADDRESS	CITY/STATE	ACCOUNT NUMBER	BALANCE
(Use separate sheet if necessary)					TOTAL

(B) MARKETABLE SECURITIES/MONEY MARKET FUNDS

DESCRIPTION	REGISTERED OWNER	NUMBER OF SHARES OR FACE VALUE	COST	SOURCE OF VALUATION	MARKET VALUE
(Use separate sheet if necessary)				TOTAL	

(C) NON-MARKETABLE SECURITIES

DESCRIPTION	REGISTERED OWNER	NUMBER OF SHARES OR FACE VALUE	COST	SOURCE OF VALUATION	MARKET VALUE
(Use separate sheet if necessary)				TOTAL	

(D) ACCOUNTS AND NOTES RECEIVABLE

BORROWER & ADDRESS	WHEN DUE	ORIGINAL DATE	ORIGINAL AMOUNT	COLLATERAL	BALANCE DUE
(Use separate sheet if necessary)				TOTAL	

(E) REAL ESTATE - WHOLLY OWNED

DESCRIPTION & LOCATION	DATE ACQUIRED	TITLE IN NAME OF	PURCHASE PRICE	MORTGAGE HOLDER	MARKET VALUE	MONTHLY PAYMENT	MORTGAGE BALANCE
(Use separate sheet if necessary)						TOTAL	

(F) REAL ESTATE - PARTIALLY OWNED

DESCRIPTION & LOCATION	% OF OWNERSHIP	TOTAL COST	YEAR OF PURCHASE	TOTAL MARKET VALUE	TOTAL MORTGAGE	YOUR VALUE OF EQUITY
(Use separate sheet if necessary)					TOTAL	

(G) LIFE INSURANCE

NAME OF COMPANY	FACE AMOUNT	BENEFICIARY	LOANS	CASH SURRENDER VALUE BALANCE DUE
(Use separate sheet if necessary)				TOTAL

(H) NOTES & ACCOUNTS DUE BANKS AND OTHERS-INCLUDE ALL REVOLVING CREDIT AVAILABLE

BANKS/STORE/COMPANY	ACCOUNT NUMBER	HIGH CREDIT	SECURED BY	TERMS	MONTHLY PAYMENTS	CURRENT BALANCE
(Use separate sheet if necessary)					TOTAL	

THE UNDERSIGNED CERTIFIES THAT THE INFORMATION PROVIDED HAS BEEN CAREFULLY READ AND IS TRUE, CORRECT AND COMPLETE. THE UNDERSIGNED FURTHER REPRESENTS THAT THERE ARE NO ENCUMBRANCES AGAINST ANY OF THE FOREGOING PROPERTY EXCEPT THOSE SPECIFICALLY DISCLOSED ABOVE. GBF IS AUTHORIZED TO VERIFY THE INFORMATION DISCLOSED AND TO PERFORM A CREDIT INVESTIGATION. THE UNDERSIGNED AUTHORIZES GBF, IF CREDIT IS GRANTED, TO GIVE CREDIT INFORMATION TO ANY CREDIT REPORTING SERVICE AND TO ADVISE OTHERS ABOUT ITS CREDIT EXPERIENCE WITH YOU. IT IS ALSO AGREED THAT THE FINANCIAL STATEMENT SHALL REMAIN THE PROPERTY OF GBF WHETHER OR NOT CREDIT IS GRANTED.

SIGNATURE_____
SIGNATURE_____
SIGNATURE_____
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